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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/508,430	03/13/2000	RUDOLF MEIER	030705-164	1172

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EXAMINER

AKHAVANNIK, HUSSEIN

ART UNIT	PAPER NUMBER
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2621

DATE MAILED: 04/23/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/508,430

Applicant(s)

MEIER ET AL.

Examiner

Hussein Akhavannik

Art Unit

2621

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-5 and 7-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5 and 7-17 is/are rejected.
- 7) ☒ Claim(s) 15 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☒ The proposed drawing correction filed on 03 February 2003 is: a) ☒ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

1. The amendment to the specification and figures of this application entered on February 3, 2003 as paper numbers 6 and 7 overcome the Examiner's objection cited in paragraphs 1-3 of the previous office action.
2. The amendment to claims 8 and 9 overcome the Examiner's U.S.C 112 second paragraph rejection of these claims cited in paragraph 5 of the previous office action.

### ***Response to Arguments***

3. Applicant's arguments filed February 3, 2003 have been fully considered but they are not persuasive.

Applicant alleges that Leuenberger fails to disclose the step of sorting the data associated with detected faults according to at least two parameters included in the data and representing the detected faults in an image as a function of the at least two parameters. However, Leuenberger discloses sorting the detected faults according to three parameters in figure 3. Leuenberger explains in column 5, lines 36-66 that the signal indicating the probability that a defect is present in the corresponding area of the web is read together with data relating to the position of the area from which the signal is derived. The probability that the defect is present is indicated by the gray-level intensity of each block in figure 3, corresponding to the first parameter. Leuenberger explains that the probability of a defect being present is determined by a filter, which can be optimized by a neural network in column 4, lines 6-39. The inputs to the filter are the signals detected from the web that represent brightness or intensity of the web. The position of the defect will be two-dimensional, as the web is a rectangle that has two dimensions. The

Art Unit: 2621

horizontal position of a defect illustrated in figure 3 and corresponds to a second parameter and the vertical position of a defects is also illustrated in figure 3, corresponding to a third parameter. The defects are sorted according to their horizontal and vertical positions in figure 3, as the positions indicate the location of the defect on the output device (such as a visible display). The order of the positions of the defects on the output device will directly depend on their respective horizontal and vertical coordinates. The defects are also represented on the output device in figure 3 as a function of three parameters, namely the horizontal position, vertical position, and probably of defect.

Applicant alleges that the Examiner has not provided an objective reason to modify Leuenberger to include classifying defects, as explained by Brecher et al. However, Leuenberger discloses that a computer can be used in combination with the defect detecting system to further classify the defect regions so that they can be associated with different types (or classes) of defects on column 4, lines 29-39. Leuenberger gives an example that that the defects illustrated in figure 3 can be classified into the weft defect and warp defect classes. Brecher et al further disclose a system that can classify defect as illustrated in figures 3 and 7. Brecher et al explains that their classification system can be used in any automatic defect classification system that comprises a means for detecting object defects in a digital input image, such as the system proposed by Leuenberger, in column 4, lines 33-52. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the classification system of Brecher et al into the textile defect detecting system of Leuenberger as Leuenberger strongly suggests the use of classification of the identified defects.

*Specification*

4. Claim 15 is objected to because of the following informalities: "percentage" should be changed to "percentages". Appropriate correction is required.

*Claim Rejections - 35 USC § 102*

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

6. Claims 1-5 are rejected under 35 U.S.C. 102(e) as being anticipated by Leuenberger (U.S. Patent No. 6,100,989).

Referring to claim 1,

- i. Receiving data associated with faults detected on a swatch of the surface of the fabric is explained by Leuenberger in column 3, lines 33-65 and illustrated in figure 1. The data corresponding to the faults is the intensity detected from the faults.

Art Unit: 2621

- ii. Sorting the data associated with faults detected on a swatch of the surface of the fabric is illustrated by Leuenberger in figure 3. Leuenberger explains in column 5, lines 36-66 that the signal indicating the probability that a defect is present in the corresponding area of the web is read together with data relating to the position of the area from which the signal is derived. The probability that the defect is present is indicated by the gray-level intensity of each block in figure 3, corresponding to the third parameter. The position of the defect will be two-dimensional, as the web is a rectangle that has two dimensions. The horizontal position of a defect illustrated in figure 3 and corresponds to a first parameter and the vertical position of a defects is also illustrated in figure 3, corresponding to a second parameter. The defects are sorted according to their horizontal and vertical positions in figure 3, as the positions indicate the location of the defect on the output device (such as a visible display).
- iii. Representing the detected faults in an image as a function of the at least two parameters is explained by Leuenberger in column 5, lines 63 to column 6, line 3 and illustrated in figure 3.

Referring to claim 2, the swatch forming a rectangle whose sides extend parallel and perpendicularly to the boundaries of the fabric is illustrated by Leuenberger in figures 1 and 3. Reference numbers 5 and 6 of figure 1 are areas that are imaged and both areas have sides that extend parallel and perpendicularly to the boundaries of the fabric. Leuenberger explains imaging these areas in column 4, line 67 to column 5, line 6.

Art Unit: 2621

Referring to claim 3, the data associated with the faults including the extent of a detected fault in two directions is explained by Leuenberger in column 5, lines 58-63. The position of the camera would relate to the extent of the detected fault as the camera is moved along the swatch in figure 1 in the direction of arrow 9. Leuenberger also explains that the camera could be moved in a direction other than that of arrow 9 in column 6, lines 42-46, therefore being able to obtain the extent of the detected fault in two directions.

Referring to claim 4, the data associated with the faults including the intensity of a fault is explained by Leuenberger in column 5, lines 43-46.

Referring to claim 5, the data associated with the faults including the form of a fault is explained by Leuenberger in column 4, lines 29-39. Two forms of the fault explained by Leuenberger can be a weft fault and a warp fault.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 7-10 and 16-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Leuenberger in view of Brecher et al (U.S. Patent No. 5,544,256).

Referring to claim 7, the image consisting of fields and a class being associated with each field is not explicitly explained by Leuenberger. However, Leuenberger discloses that a computer can be used in combination with the defect detecting system to further classify the defect regions so that they can be associated with different types (or classes) of defects on

Art Unit: 2621

column 4, lines 29-39. Leuenberger gives an example that the defects illustrated in figure 3 can be classified into the weft defect and warp defect classes. Brecher et al further disclose a system that can classify defect as illustrated in figures 3 and 7. Brecher et al explains that their classification system can be used in any automatic defect classification system that comprises a means for detecting object defects in a digital input image, such as the system proposed by Leuenberger, in column 4, lines 33-52. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the classification system of Brecher et al into the textile defect detecting system of Leuenberger to define fields in an image and associate each field with a class of the defects as Leuenberger strongly suggests the use of classification of the identified defects.

Referring to claim 8, the values for the detected number of faults in the fabric being associated with the classes is explained by Leuenberger in column 4, lines 29-39. The value associated with defect 11 indicates a weft defect and the value associated with defect 12 indicates a warp defect.

Referring to claim 9, the classes being divided into groups by boundaries is not explicitly explained by Leuenberger. However, Brecher et al do illustrate such boundaries in figure 7. There are 4 lines around the spaces 12 and 14, which define a boundary for each class in figure 7. Leuenberger does illustrate boundaries of the detected faults by gray scale values representative of the probability of that region containing a fault. Therefore, the regions with gray scale values about 0 (indicating white) would indicate the boundary of the defect. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention



Art Unit: 2621

was made to divide the classes of faults into separate groups by boundaries so that the classes can be clearly defined.

Referring to claim 10,

- i. Receiving a plurality of parameters associated with each detected fault on a swatch of fabric corresponds to claim 1. The parameters include intensity, probability of being a defect, horizontal direction, and vertical direction.
- ii. Classifying the detected faults based on a selected set of the plurality of parameters corresponds to claim 7.
- iii. Representing the classification of each detected fault in an image where at least two axis represent two selected parameters corresponds to claim 7. Figure 3 of Leuenberger illustrates the x-axis corresponding to the horizontal position and the y-axis corresponding to the vertical direction.
- iv. A series of fields that lie in a plane defined by the values of the two selected parameters, the extent of each field characterizing a class of fault representing the classification of each detected fault in an image corresponds to claim 7. The extent of the non-white regions in areas of defects 11 and 12 illustrated by Leuenberger in figure 3, represent the field of each defect. Furthermore, Brecher et al illustrate fields that corresponds to different classes in figure 7.

Referring to claim 16, the image further comprising representations of a third parameter corresponds to claim 1. The first and second parameters are the horizontal and vertical positions, respectively. The third parameter corresponds to the probability that the defect is present as

Art Unit: 2621

explained by Leuenberger in column 5, lines 36-66. The probabilities are represented by the gray scale value of each block illustrated by Leuenberger in figure 3.

Referring to claim 17, the third parameter being the intensity of the fault is explained by Leuenberger in column 5, lines 36-66. Leuenberger explains that the brightness (or intensity) values recorded from the partial areas of the fabric are supplied to the filter, which transmits an output value. Leuenberger then explains that this output signal indicates the probability that a defect is present in the corresponding area of the web. Therefore, the third parameter, corresponding to claim 16, is representative of the intensity of the fault.

9. Claims 11-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Leuenberger in view of Brecher et al, and further in view of Meier et al (U.S. Patent No. 5,834,639).

Referring to claim 11, the two selected parameters being the length and width of a fault and the fields characterizing the detected faults according to size is not explicitly explained by Leuenberger or Brecher et al. However, Meier et al illustrate classifying faults found in yarn using the length of the fault and the yarn cross section, corresponding to the width of the fault. Meier et al explain in column 7, lines 37-61 that the areas 37-39 correspond to regions of different fault classes. Leuenberger classifies the defects according to their position in the fabric and probability of being a defect. By thresholding the defect probability with an acceptable probability threshold, the length, width, and size of each defect could easily be determined in the system of Leuenberger. Determining the dimensions of a defect would be beneficial to any textile monitoring system so that the grade of the fabric can be determined. Also, if a defect is too large in any dimension, the textile will be rendered useless. Therefore, it would have been

Art Unit: 2621

obvious to one of ordinary skill in the art at the time the invention was made to select the parameters of length and width to characterize textiles.

Referring to claim 12, the two selected parameters being the area of a fault and the intensity of a fault in percentages is not explicitly explained by Leuenberger or Brecher et al. Leuenberger does explain one of the parameters being the intensity of a fault, corresponding to claim 17. However, Leuenberger or Brecher et al do not explain a parameter being the area of the fault. Meier et al do explain using the length and the cross section of a fault to classify a textile defect, corresponding to claim 11. Because the images of the fabric of Leuenberger and the yarn of Meier et al are two dimensional, knowing the length and the width of the fault would provide all the information needed to determine the rectangular area of the fault through simple multiplication. Thus, the length and width measurements could be multiplied and supplied to one axis of a two dimensional feature space and the intensity of the fault can be supplied to the second dimension of the feature space so that faults can be classified. The sizes of the faults are essential in textiles, as faults that are too large will render a textile completely useless. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to categorize the faults in the system of Leuenberger according to the size of the faults and the intensity of the faults.

Referring to claim 13, the two selected parameters being the length and the intensity of a fault is not explicitly explained by Leuenberger or Meier et al. Leuenberger does explain using the intensity of a fault as a parameter, corresponding to claim 17. Meier et al explain using the length of a fault as a parameter, corresponding to claim 11. The sizes of the faults are essential in textiles, as faults that are too large will render a textile completely useless. Therefore, it

Art Unit: 2621

would have been obvious to one of ordinary skill in the art at the time the invention was made to use the length and intensity of a fault to categorize a fault.

Referring to claim 14, the fabric swatch being comprised of a plurality of rectangle units whose sides extend parallel and perpendicularly to boundaries of the fabric and wherein one of the plurality of parameters associated with each fault is the number of units in two directions occupied by the fault is not explicitly explained by Leuenberger or Brecher et al. Leuenberger does illustrate in figure 1 that the fabric is made up of a plurality of rectangular units (7) whose sides extend parallel and perpendicularly to the boundaries of the fabric. However, Leuenberger does not explain one of the parameters being the number of units in two dimensions occupied by the fault. Using the area of a fault as a parameter of classification corresponds to claim 12. Because the image of Leuenberger is composed of blocks, it would have been obvious to one of ordinary skill in the art to represent the size of a fault by the number of blocks it occupies.

Referring to claim 15, the two selected parameters being the number of occupied units and the intensity of a fault in percentages corresponds to claim 12. The area of the fault may be represented by the number of occupied units of the fault, corresponding to claim 14. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the number of occupied units and the intensity of a fault as parameters to categorize the fault.

### ***Conclusion***

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Parker (U.S. Patent No. 5,745,365) – To exhibit determining the width and length of defects on a paper web.

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hussein Akhavannik whose telephone number is (703)306-4049. The examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Leo H. Boudreau can be reached on (703)305-4706. The fax phone numbers for the organization where this application or proceeding is assigned are (703)872-9314 for regular communications and (703)872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.

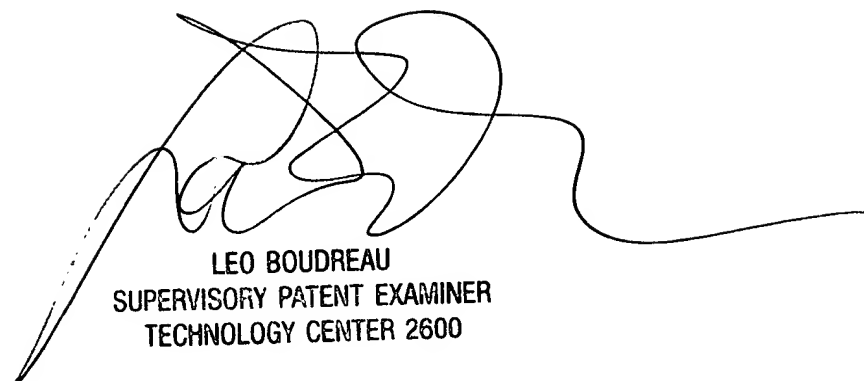
Application/Control Number: 09/508,430

Page 13

Art Unit: 2621

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April 19, 2003

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